

Our ref: CTFOI-514

13 June 2016

St. Catherine's Health Centre
1st Floor, Wing 5
Derby Road
Birkenhead
Wirral
CH42 0LQ

Tel: 0151 514 2888
www.wirralct.nhs.uk

Dear

Re: Freedom of Information Act Request

Original Request

- 1a. Approximately how many members of staff do you have?
- 1b. Approximately how many contractors have routine access to your information?

- 2a. Do you have an information security incident/event reporting policy/guidance/management document(s) that includes categorisation/classification of such incidents?
- 2b. Can you provide me with the information or document(s) referred to in 2a? (This can be an email attachment of the document(s), a link to the document(s) on your publicly facing website or a 'cut and paste' of the relevant section of these document(s).

- 3a. Do you know how many data protection incidents your organisation has had since April 2011? (Incidents reported to the Information Commissioners Office (ICO) as a Data Protection Act (DPA) breach).
Answer: Yes, No, Only since (date):
- 3b. How many breaches occurred for each financial year the figures are available for?
Answer: FY11-12, FY12-13, FY13-14, FY14-15.

- 4a. Do you know how many other information security incidents your organisation has had since April 2011? (A breach resulting in the loss of organisational information other than an incident reported to the ICO, eg compromise of sensitive contracts or encryption by malware).
Answer: Yes, No, Once since (date):
- 4b. How many incidents occurred for each financial year the figures are available for?
Answer: FY11-12, FY12-13, Y13-14, FY14-15.

- 5a. Do you know how many information security events/anomaly your organisation has had since April 2011? (Events where information loss did not occur but resources were assigned to investigate or recover, eg nuisance malware or locating misfiled documents).
Answer: Yes, No, Only since (date):
- 5b. How many events occurred for each financial year the figures are available for?
Answer: FY11-12, FY12-13, FY13-14, FY14-15.

- 6a. Do you know how many information security near misses your organisation has had since April 2011? (Problem reported to the information security teams that indicate a possible technical, administrative or procedural issue.)
Answer: Yes, No, Only since (date):
- 6b. How many near misses occurred for each financial year the figures are available for?
Answer: FY11-12, FY12-13, FY13-14, FY14-15.

Our Response

Further to your FOI request to Wirral Community NHS Foundation Trust, please see below the information requested:

- 1a. The number of fixed term and permanent staff as at 1 May 2016 was 1487.
- 1b. As at the end of May 2016, there were 43 agency staff who would have had access to our IT systems. Some of these staff were not full time and would equate to approximately 27 whole time equivalent staff.
- 2a. Yes and is contained within the following trust documents:
- Information Governance Policy
 - Caldicott and Data Protection Policy
 - Information Security Assurance Plan
- 2b. The documents referred to in 2a have been provided as attachments.
- 3a. Yes.
- 3b. There were no level 2 information governance toolkit incidents reported to the ICO during the specified timeframes.
- 4a. Yes
- 4b. No incidents were reported under the category specified in 4a.

5a, 5b, 6a and 6b.

During the timeframes specified, responsibility for information security was managed by the Commissioning Support Unit (CSU) via a service level agreement.

During the timeframes specified, there were no information security events or near misses reported to the trust by the CSU.

I trust this satisfies your request for information under the Freedom of Information Act.

Yours sincerely,



Wirral Community NHS Foundation Trust
Chairman: Frances Street
Chief Executive: Karen Howell