

## Foundation Trust Programme Update

<b>Agenda Item:</b>	19	<b>Reference:</b>	WCT14/15-222
<b>Meeting Name:</b>	Trust Board	<b>Meeting Date:</b>	3 December 2014
<b>Lead Director:</b>	Alison Hughes		
<b>Job Title:</b>	Trust Board Secretary		

<b>Link to Business Plan:</b>			
<b>Has an Equality Impact Assessment (EQIA) been undertaken &amp; attached?</b>	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	N/A <input type="checkbox"/>
<b>Have the Public &amp; Stakeholders been consulted?</b>	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	N/A <input type="checkbox"/>
<b>To Approve</b> <input type="checkbox"/>	<b>To Note</b> <input type="checkbox"/>	<b>To Assure</b> <input checked="" type="checkbox"/>	

<b>Financial Implications:</b> <i>E.g. What is the Impact on the Trust? Does it provide Value for Money? All costs should be clearly explained in the section below.</i>			
Dependant on achieving Foundation Trust status.			
<b>Overall Cost / Pressure:</b>	n/a	<b>Overall Income:</b>	n/a
<b>Additional Funding Required:</b>	n/a	<b>Funding Already Ring Fenced:</b>	n/a

<b>Identified Risks:</b>
Ability to deliver the organisational change to meet NHS policy within the financial envelope and timescales set by Department of Health.

<b>Assurance to Board:</b>
Programme management arrangements, overall timetable and risks to achieving FT status determined, as set out in this paper.

<b>Publish on Website:</b> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>Private Business:</b> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
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Report History		
Submitted to	Date	Brief Summary of Outcome
Regular report submitted each month		

# Wirral Community NHS Trust

## Foundation Trust Programme Update

### Purpose

1. The purpose of this paper is to provide an update with regard to the trust's progress with its application for Foundation Trust status.

### General Programme Update

2. The programme plan and associated actions are on track to support continued delivery to the anticipated timetable.
3. Following the last submission in June 2014, the IBP (Integrated Business Plan) and LTFM (Long Term Financial Model) are being refreshed for submission to the TDA in December 2014, in readiness for the TDA board considering the Trust's FT application in January 2015.
4. During summer/early autumn 2014, the trust engaged with Monitor on a review of the Quality Governance Assurance Framework (QGAF); the action plan following the review is on track and reviewed by the Quality & Governance Committee on a monthly basis.
5. In September 2014 the CQC Chief Inspector of Hospitals inspection took place; Trust services were overall rated as 'good' with the detailed reports officially published on 18 November.

### Work stream updates

#### Business planning work stream

6. The trust last submitted its five year IBP and LTFM to the TDA in June 2014 and is now working to refresh the documents for submission in December 2014 to support the Trust's application for FT status being considered by the TDA board in January 2015.
7. The work to refresh the IBP is reflecting the guidance included in the Monitor strategy development toolkit and will demonstrate clear alignment of the trust's plans with commissioner intentions and the wider health and social care economy.
8. The refreshed IBP and LTFM will comprise the following documents:
  - Integrated Business Plan 2014-19
  - Base Case Long Term Financial Model (LTFM)
  - Downside LTFM
  - Mitigated Downside LTFM
9. A project plan has been developed for the review and refresh of the IBP and LTFM with involvement from all board members and senior managers and team leaders, as appropriate.

#### Quality work stream

10. The trust's inspection under the CQC Chief Inspector of Hospitals inspection was a significant milestone in the foundation trust application process.
11. The CQC formally published their reports on 18 November with trust services receiving an overall rating of 'good'. This followed a Quality Summit held on 11 November where the CQC presented the findings of their inspection and the trust had an opportunity to respond with initial action plans.
12. The Quality Governance Assurance Framework (QGAF) with Monitor included a thorough review of information, a series of interviews with teams across the trust, staff focus groups and board and committee observations.

13. The findings from the review were discussed at a 'confirm and challenge' session with Monitor in early September and subsequently put in writing, confirming the trust's revised QGAF score of 4.0. There were some recommendations identified in the review and an action plan is in place to track progress and monitored on a monthly basis by the Quality & Governance Committee.

### **Finance and performance work stream**

14. As described above, the trust's five year financial plans were submitted to the TDA in June 2014 and are due to be resubmitted in December 2014. The main update within the latest submission will be to reflect the impact upon next year of the level of savings achieved on a non-recurrent basis in 2014/15.

15. These plans show, subject to the delivery of challenging CIP savings each year of 4-5%, the Trust maintains a financial surplus of more than 1%, a healthy case position and a continuity of services risk rating of four for each of the five years of the planning period.

16. The plans are sensitive to assumptions on the delivery of CIP, the annual NHS tariff deflator and pay inflation, however the assumption made in the plans are robust and prudent.

17. Under a so called "downside" scenario the trust would be able to maintain its surplus, cash balance and risk rating through the delivery of identified mitigating actions.

18. The TDA has confirmed that the trust will be required to complete a refresh HDD2 assessment and it is expected that this will be completed in parallel with the Monitor assessment process.

19. The trust has undertaken to recover it's slower than planned in-year delivery of CIP savings and to be back on profile by the end of December. To date it has met its recovery trajectory savings targets.

20. Initial CIP plans for 2015/16 and 2016/17 have been submitted to the TDA to provide assurance that the Trust can deliver the plans. The detail of the plans, project initiation documents and quality impact assessments will be formally reviewed with the TDA in mid-December.

### **Engagement work stream**

21. In readiness for the Monitor assessment the trust is revisiting plans and timelines for the election of governors.

22. In refreshing the Integrated Business Plan the trust will be engaging with commissioners to seek their endorsement of the proposed strategic initiatives and service development plans.

### **TDA reporting**

23. The trust has submitted its monthly TDA self-certification for October 2014/15. These were reviewed and approved at the November Finance and Performance Committee and relate to the month of October.

24. During December and January 2015, the TDA team will be observing board and committee meetings as part of their assessment and preparation for the TDA board meeting in January 2015.

### **Board action**

25. The board is asked to note and be assured on the progress made by the trust in its FT application process and assure itself that the actions identified are consistent with existing timeframes.

**Alison Hughes**

Trust Board Secretary  
24 November 2014